

Atty. Dkt. No. 081445-0112

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

11.7.03

Applicant:

John E. Seem

Title:

A METHOD OF INTELLIGENT DATA ANALYSIS TO DETECT ABNORMAL USE OF UTILITIES

IN BUILDINGS

Appl. No.:

09/910,371

Filing Date:

07/20/2001

Examiner:

Jeffrey R. West

Art Unit:

2857

# CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, Virginia 22313-1450, on the date below. Chris Escavaille (Printed Name)\* (Signature) August 13, 2003 (Date of Deposit)

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Submitted herewith on Form PTO-1449 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

# TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.20 before the mailing date of either a final action under 37 CFR §1.113, a

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notice of allowance under 37 CFR §1.113, or an action that otherwise closes prosecution in the application.

# RELEVANCE OF EACH DOCUMENT

All of the documents are in English.

Applicant respectfully requests that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP §609.

# **STATEMENT**

The undersigned hereby states in accordance with 37 CFR §1.97(e)(1) that each item of information contained in this supplemental information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to filing of this Statement.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Respectfully submitted,

Date

August 13, 2003

Ву

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Page 1 of 1 ATTY. DOCKET NO. U.S. DEPARTMENT OF COMMERCE SERIAL NO. Form PTO-1449 PATENT AND TRADEMARK OFFICE 081445-0112 09/910,371 (MODIFIED) APPLICANT INFORMATION DISCLOSURE CITATION John E. Seem FILING DATE **GROUP ART UNIT** (Use several sheets if necessary) 07/20/2001 2857 **U.S. PATENT DOCUMENTS FILING DATE DOCUMENT** SUB-**EXAMINER** DATE NAME **CLASS REF** IF **CLASS** INITIAL NUMBER APPROPRIATE **FOREIGN PATENT DOCUMENTS** TRANSLATION DOCUMENT SUB-CLASS DATE COUNTRY REF CLASS NUMBER YES NO OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) Janson, M.A. et al., "Robust Methods Applied to Energy-Consumption Data," Decision Sciences, Atlanta, GA. U.S., Vol. 16, No. 4, September 1985 (1985-09), pgs. 343-356. Dattero, R. et al., "Methods for the Identification of Data Outliers in Interactive SQL," Journal of Database Administration, IDEA Group Publ., Harrisburg, U.S., Vol. 2, No. 1, December 21, 1991 (1991-12-21), pgs. 7-18.

EXAMINER DATE CONSIDERED

European Search Report, EP 02 25 3130, dated July 18, 2003

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citati n if not in conformance and not c nsider d. Include any c py of this form with next communicati n t applicant.